



ATTORNEYS AT LAW



Robert Greene Sterne  
 Edward J. Kessler  
 Jorge A. Goldstein  
 David K.S. Cornwell  
 Robert W. Esmond  
 Tracy-Gene G. Durkin  
 Michele A. Cimbala  
 Michael B. Ray  
 Robert E. Sokohl  
 Eric K. Steffe  
 Michael Q. Lee  
 Steven R. Ludwig  
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 Linda E. Alcorn  
 Robert C. Millonig  
 Lawrence B. Bugaisky  
 Donald J. Featherstone  
 Michael V. Messinger

Judith U. Kim  
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 Patrick E. Garrett  
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 Edward W. Yee  
 Albert L. Ferro\*  
 Donald R. Banowitz  
 Peter A. Jackman  
 Teresa U. Medler  
 Jeffrey S. Weaver  
 Kendrick P. Patterson  
 Vincent L. Capuano  
 Eldora Ellison Floyd  
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 Brian J. Del Buono  
 Virgil Lee Beaston  
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 Theodore A. Wood

Elizabeth J. Haanes  
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 Jason D. Eisenberg  
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 John J. Figueroa  
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 Tiera S. Coston\*  
 Aric W. Ledford\*

Michael D. Specht  
 Jessica L. Parezo  
 Timothy A. Doyle\*  
 Cynthia M. Bouchez  
 Nicole D. Drebar\*  
 Ted J. Ebersole  
**Registered Patent Agents**  
 Karen R. Markowicz  
 Nancy J. Leith  
 Helene C. Carlson  
 Gaby L. Longsworth  
 Matthew J. Dowd  
 Aaron L. Schwartz  
 Mary B. Tung  
 Katrina Y. Pei Quach  
 Bryan L. Skelton  
 Robert A. Schwartzman

Teresa A. Colella  
 Jeffrey S. Lundgren  
 Victoria S. Rutherford  
 Eric D. Hayes  
 Michelle K. Holoubek  
 Robert H. DeSelms  
 Simon J. Elliott  
 Julie A. Heider  
 Mita Mukerjee  
 Scott M. Woodhouse  
**Of Counsel**  
 Kenneth C. Bass III  
 Evan R. Smith  
 Marvin C. Guthrie  
 \*Admitted only in Maryland  
 +Admitted only in Virginia  
 #Practice Limited to Federal Agencies

April 12, 2004

**WRITER'S DIRECT NUMBER:**  
 (202) 772-8677

**INTERNET ADDRESS:**  
 RSOKOHL@SKGF.COM

RECEIVED

APR 16 2004

Art Unit 2144

Technology Center 2100

Commissioner for Patents  
 PO Box 1450  
 Alexandria, VA 22313-1450

Re: U.S. Utility Patent Application  
 Application No. 09/690,437; Filed: October 18, 2000  
 For: **Apparatus, Method And Computer Program Product For Efficiently Pooling Connections Between Clients And Servers**  
 Inventors: SUSAI *et al.*  
 Our Ref: 1763.0110000

Sir:

Transmitted herewith for appropriate action are the following documents:

1. PTO Fee Transmittal;
2. Second Supplemental Information Disclosure Statement;
3. Form PTO-1449 listing ELEVEN (11) documents (1 page);
4. Copies of the ELEVEN (11) cited documents as listed on Form PTO-1449;
5. PTO-2038 Credit Card Payment Form for \$180.00 to cover the IDS filing fee; and
6. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

2144

Commissioner for Patents  
April 12, 2004  
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency,  
or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Robert Sokohl  
Attorney for Applicants  
Registration No. 36,013

RES/mjg  
Enclosures

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

APR 12 2004  
U.S. PATENT & TRADEMARK OFFICE

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

## Complete if Known

Application Number	09/690,437
Filing Date	October 18, 2000
First Named Inventor	Michel K. SUSAI
Examiner Name	Phan, Tam T.
Art Unit	2144
Attorney Docket No.	1763.0110000

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## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other\*\*  None

 \*\*Charge any deficiencies or credit any overpayments in Deposit Account: the fees to Deposit Acct. No. 19-0036

Deposit Account Number 19-0036  
Deposit Account Name Sterne Kessler, Goldstein & Fox P.L.L.C.

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1) (\$)</b>			

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	-20	Extra Claims	Fee from below	Fee Paid
Independent Claims	- 3	**= <input type="text"/> X <input type="text"/> = <input type="text"/>		
Multiple Dependent				

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1202 18	2202 9	Claims in excess of 20	
1201 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2) (\$)</b>			

\*\* or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	\$180.00
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify)			
*Reduced by Basic Filing Fee Paid		<b>SUBTOTAL (3) (\$)</b>	
		180.00	

(Complete if applicable)

Name (Print/Type)	Robert Sokohl	Registration No. (Attorney/Agent)	36,013	Telephone	(202) 371-2600
Signature					
Date	4/12/04				

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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